

# Quantum Lattice Enumeration in Limited Depth

## CRYPTO 2024

Nina Bindel<sup>1</sup>   Xavier Bonnetain<sup>2</sup>   Marcel Tiepelt<sup>3</sup>   Fernando Virdia<sup>4</sup>

<sup>1</sup> SandboxAQ, Palo Alto, CA, USA

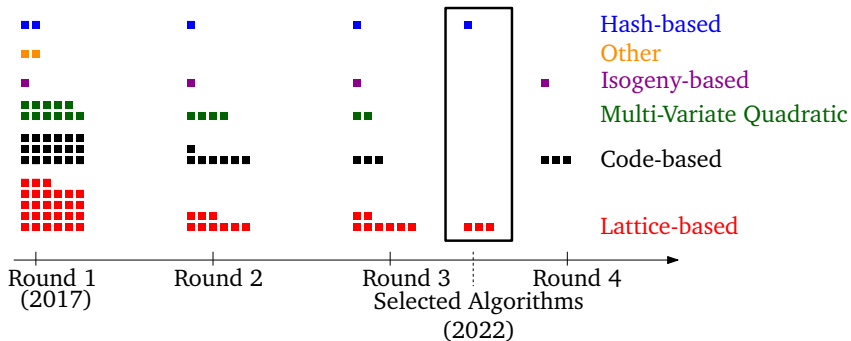
<sup>2</sup> Université de Lorraine, CNRS, Inria, Nancy, France

<sup>3</sup> KASTEL, Karlsruhe Institute of Technology, Karlsruhe, Germany

<sup>4</sup> Univerisdade NOVA de Lisboa, NOVA LINCS, Lisbon, Portugal

# Why Lattice Enumeration?

- ▶ Lattice-based constructions popular
- ▶ 3 out of 4 NIST *post-quantum standards* are based on lattice assumptions



# Why Lattice Enumeration as SVP Solver?

- ▶ Leading cost of state-of-the-art attacks is cost of SVP solver
- ▶ Lattice sieving analyzed in quantum setting<sup>1</sup>
- ▶ Quantum lattice enumeration analyzed in *asymptotic* setting<sup>2</sup> and unbounded quantum circuit model<sup>3</sup>

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<sup>1</sup>[1] Albrecht et al. “Estimating Quantum Speedups for Lattice Sieves”

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Concrete speedup of quantum lattice enumeration for practical parameters remains unclear.

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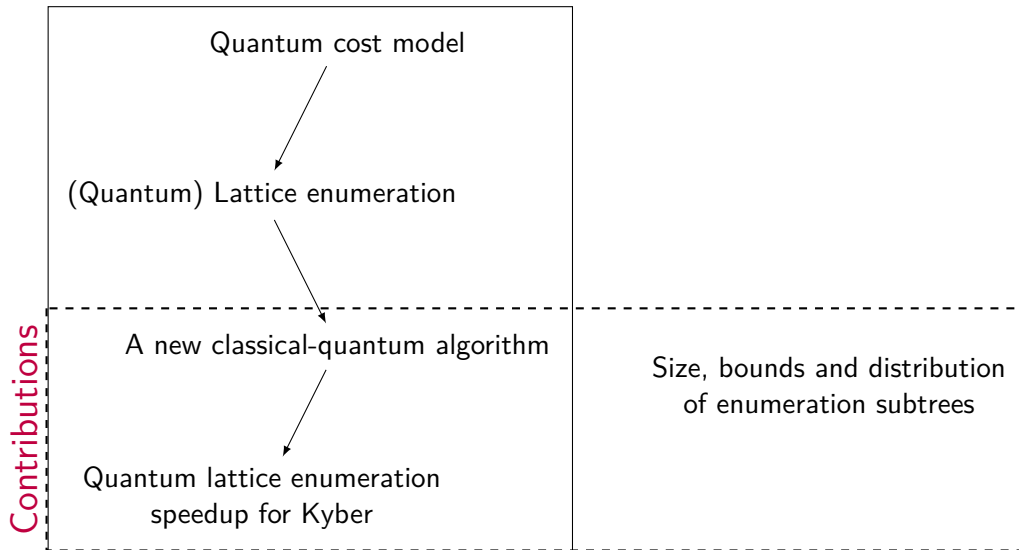
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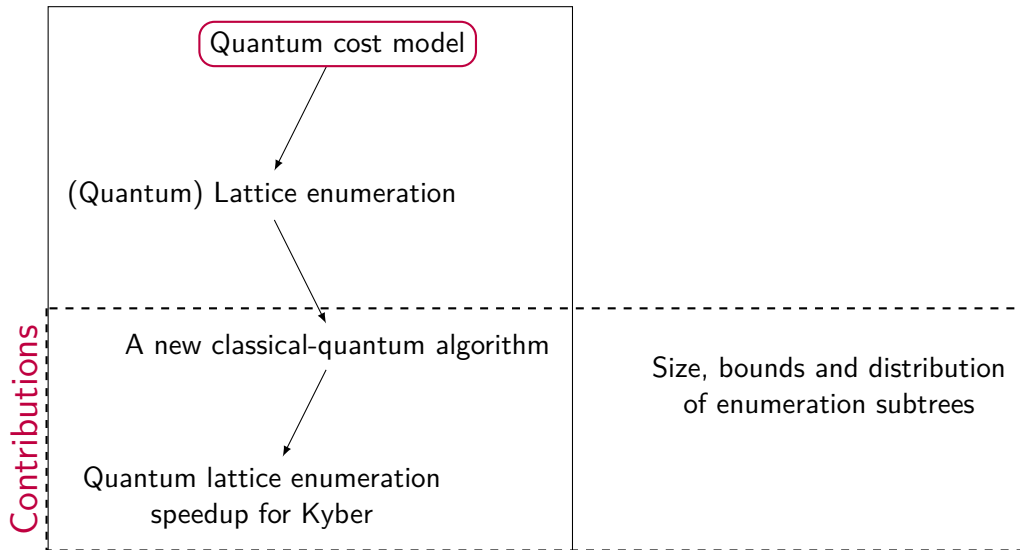
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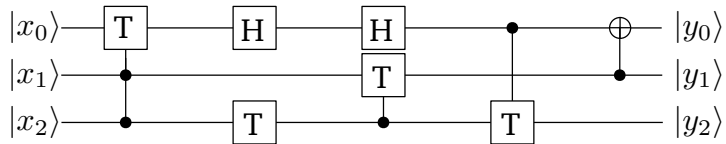
# Today



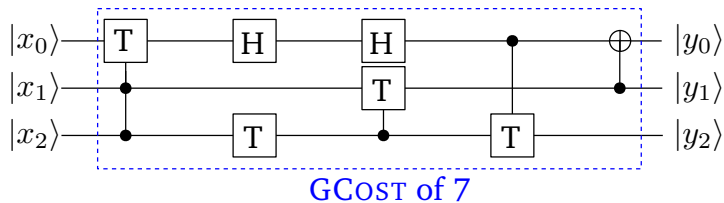
# Today



# Quantum Cost Model



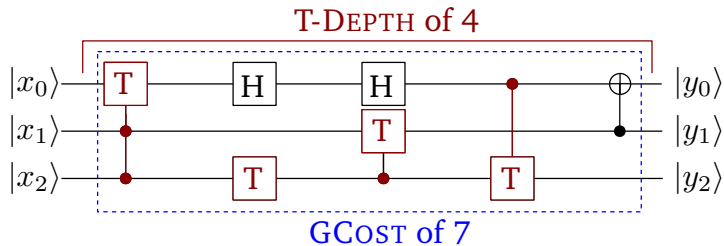
# Quantum Cost Model



- **GCOST**: Number of quantum gates



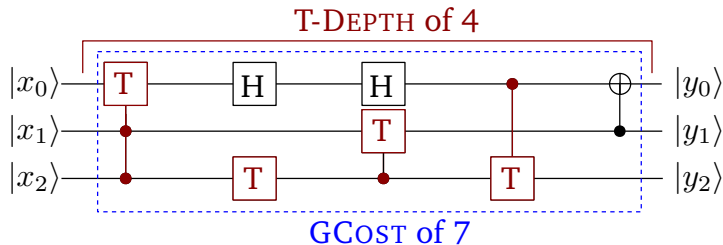
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- ▶ **T-DEPTH**: Consecutive gates

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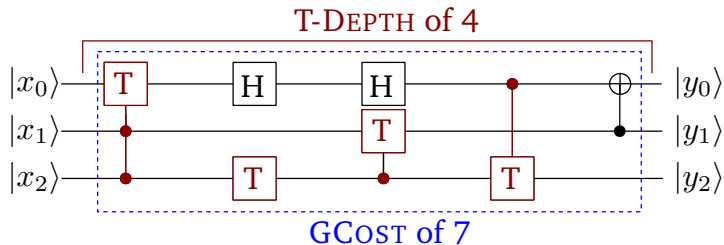
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- ▶ Hypothetical  $\text{MAXDEPTH} \in \{2^{40}, 2^{64}, 2^{96}\}$  by NIST<sup>4</sup>:

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# Quantum Cost Model

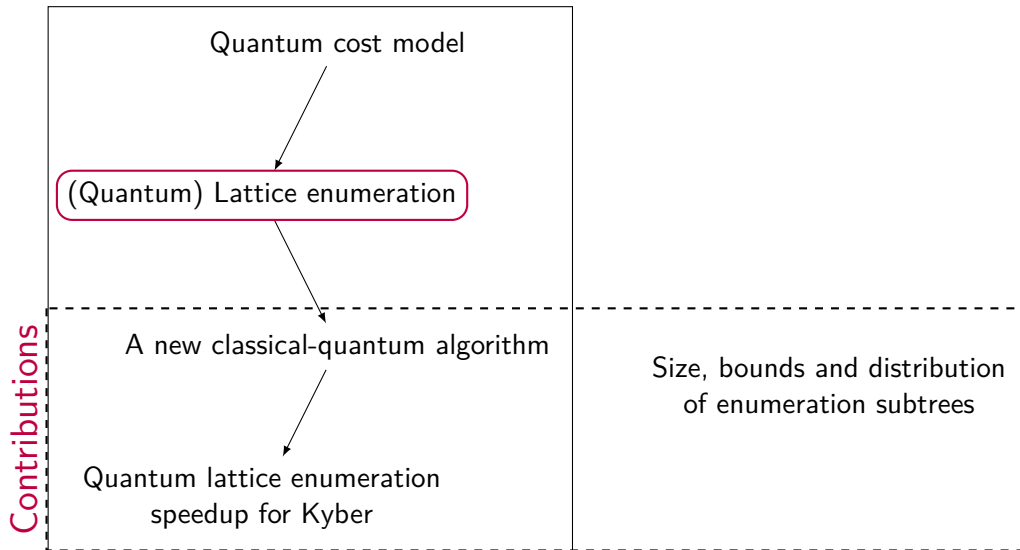


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One needs:  $\text{T-DEPTH}(\text{QENUM}) \leq \text{MAXDEPTH}$

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Today



# Lattice Enumeration

## Setup

- ▶ Lattice  $\mathcal{L}(B)$ , dimension  $n$
- ▶ Enumeration: Given  $B$ , bound  $R$ , finds  $\vec{v}$  s.t.  $0 < \|\vec{v}\| \leq R$

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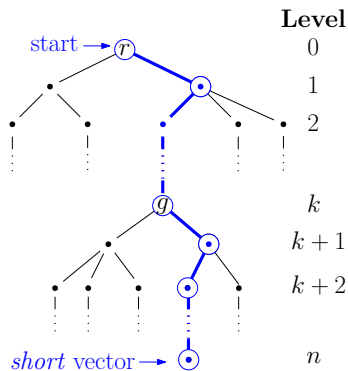
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## Enumeration with extreme pruning<sup>5</sup>

- ▶ DFS defines enumeration tree(s)

## One Tree $\mathcal{T}$



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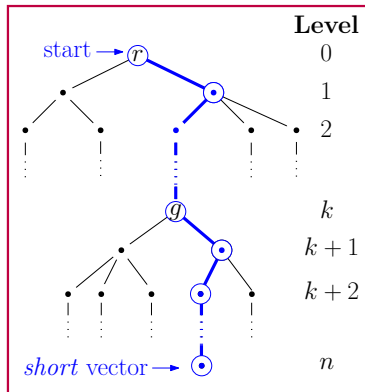
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Gaussian heuristic  
+GSA gives us  $\mathbb{E} [\#\mathcal{T}(r)]$   
random tree  $\mathcal{T}$

## One Tree $\mathcal{T}$

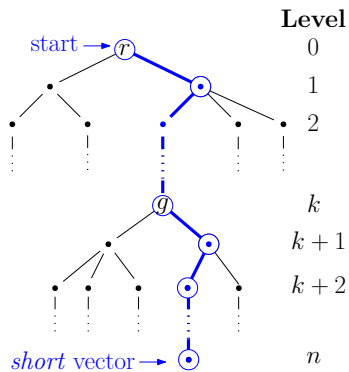


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# Lattice Enumeration

## Time complexity

- ▶ Classical:  $\mathcal{O}(\#\mathcal{T}(r))$



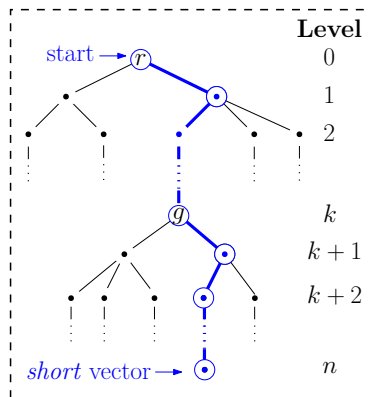


# Quantum Lattice Enumeration

## Time complexity

- ▶ Classical:  $\mathcal{O}(\#\mathcal{T}(r))$
- ▶ Quantum<sup>6</sup>:
  - ▶ QPE:  $\mathcal{O}(\sqrt{\#\mathcal{T}(r)} \cdot n)$  calls to  $\mathcal{W}$
  - ▶  $\text{poly}(n)$  classical repetitions of QPE( $\mathcal{W}$ )

QPE( $\mathcal{W}$ )  $\equiv$  Quantum Walk



<sup>6</sup>[8] Montanaro's "Quantum-Walk Speedup of Backtracking Algorithms"

# Quantum Lattice Enumeration

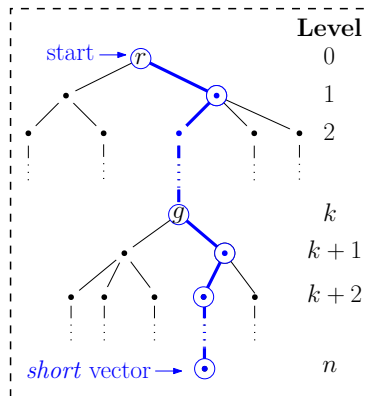
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  - ▶  $\text{poly}(n)$  classical repetitions of  $\text{QPE}(\mathcal{W})$

Only  $\text{QPE}(\mathcal{W})$  is a quantum circuit:

$$\text{T-DEPTH}(\text{QENUM}(\mathcal{T}(r))) = \text{T-DEPTH}(\text{QPE}(\mathcal{W}))$$

$\text{QPE}(\mathcal{W}) \equiv \text{Quantum Walk}$



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# Depth of *Full* Quantum Enumeration

! Disclaimer: Very loosely estimated numbers. !

(don't quote us on **these**)

- ▶ QPE( $\mathcal{W}$ ) applied to full enumeration tree of depth  $\beta$
- ▶ Ignoring Jensen's Gap  $\mathbb{E}[\sqrt{\#\mathcal{T}(r) \cdot h}]$  (we will come back to this later)
- ▶ Limitation:  $\log_2(\text{MAXDEPTH}) \in \{40, 64, 96\}$

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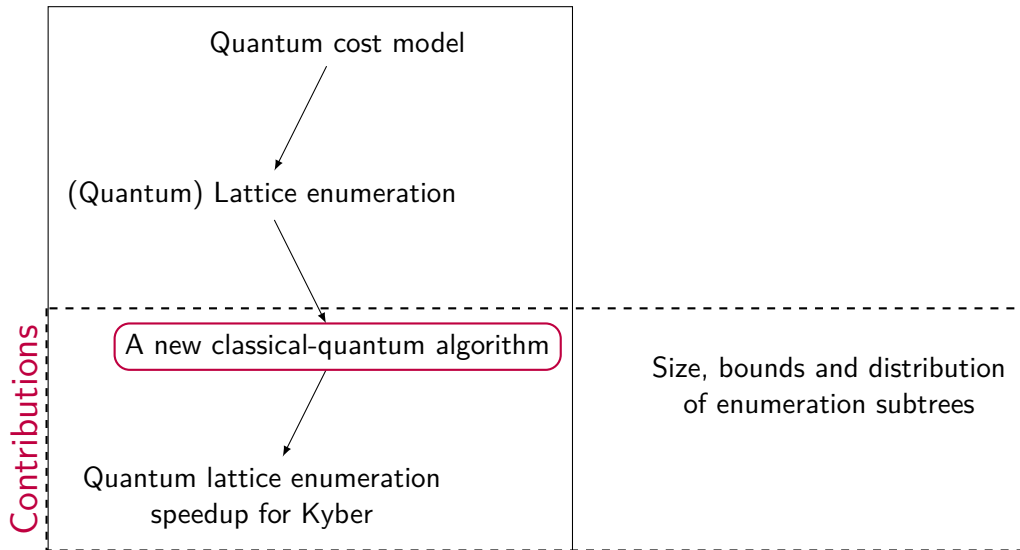
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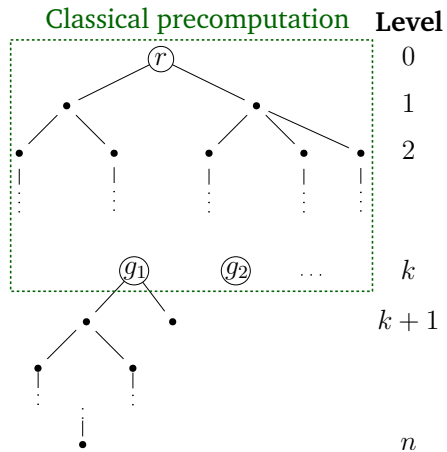
$$\log_2 \mathbb{E}[\text{T-DEPTH}(\text{QPE}(\mathcal{W}))] \approx \begin{cases} 90 & \text{for Kyber-512} & \leq \log(\text{MAXDEPTH}) \\ 166 & \text{for Kyber-768} & \gg \log(\text{MAXDEPTH}) \\ 263 & \text{for Kyber-1024} & \gg \log(\text{MAXDEPTH}) \end{cases}$$

# Today



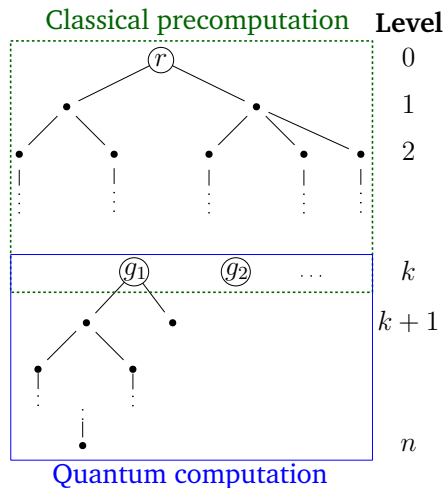
# A Quantum-Classical Algorithm (simplified)

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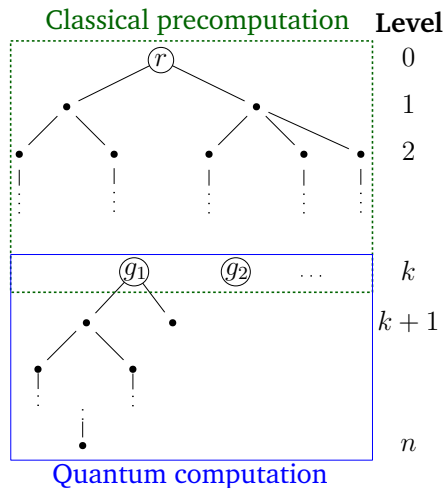


# A Quantum-Classical Algorithm (simplified)

- ▶ Classical precomputation: up to level  $k$
- ▶  $Q_{\text{ENUM}}(\mathcal{T}(g_i))$  for every node  $g_i$  on level  $k$
- ▶ Choose level  $k$  such that

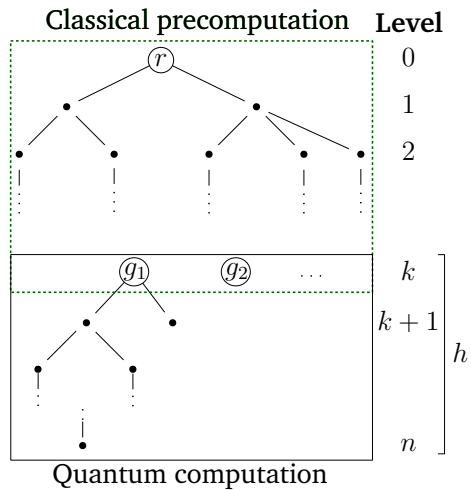
$$\text{T-DEPTH}(QPE(\mathcal{W})) \leq \text{MAXDEPTH}$$

... and also reducing overall cost.



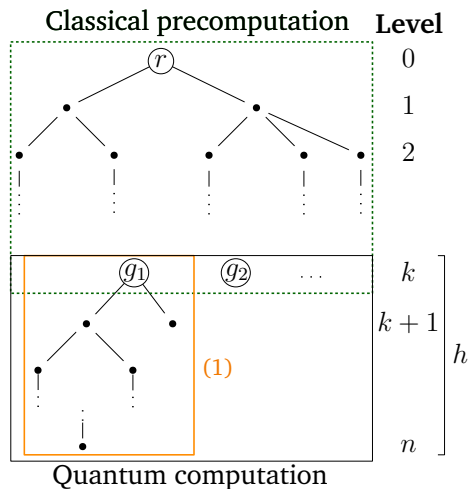


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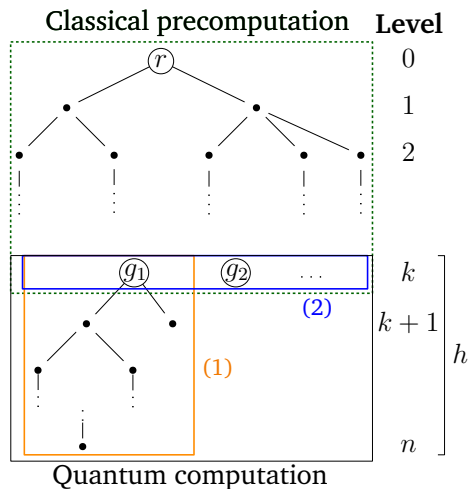
(1) Size  $\#\mathcal{T}(g_i)$  of subtrees<sup>7</sup>



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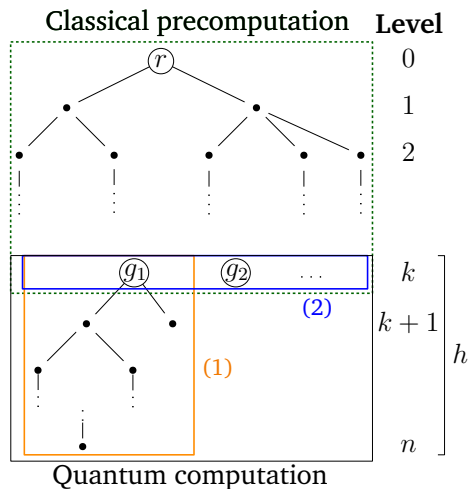
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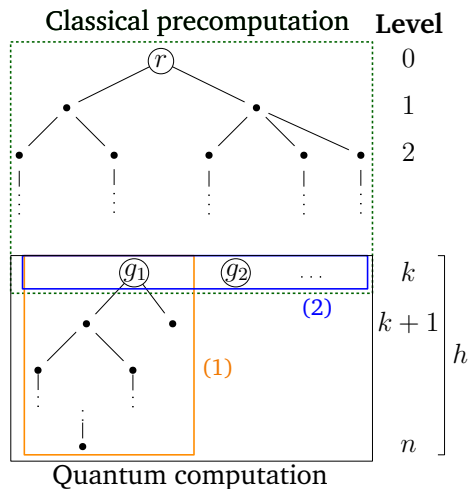


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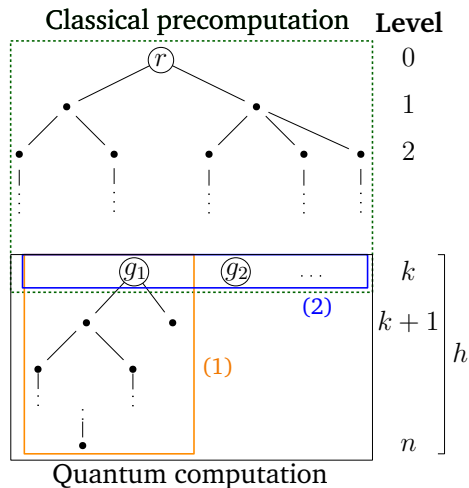
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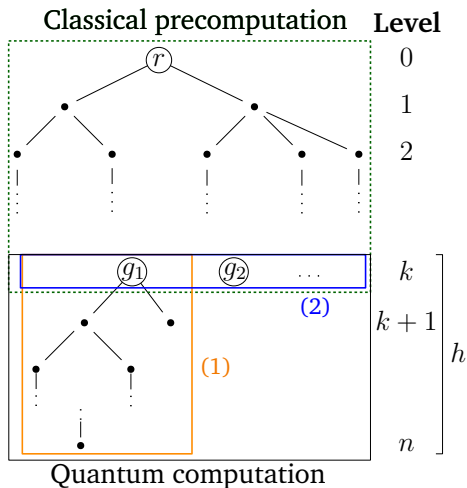
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Jensen's Inequality:  $\mathbb{E}[\sqrt{X}] \leq \sqrt{\mathbb{E}[X]}$



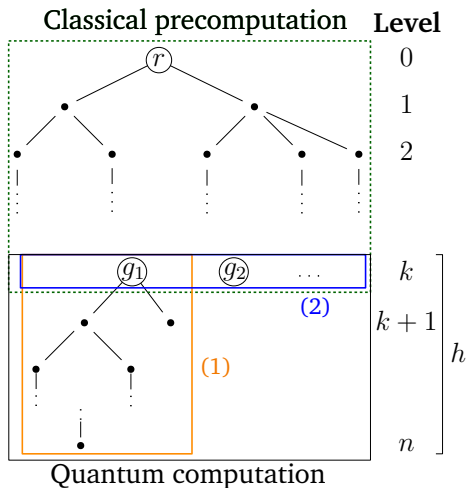
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- (4) Multiplicative Jensen's Gap  $2^z$ :  
(property of the trees)

$$2^z \cdot \underbrace{\mathbb{E}_{\text{random tree } \mathcal{T}} [\sqrt{\#\mathcal{T}(g_i) \cdot h}]}_{\text{what we need}} = \underbrace{\sqrt{\mathbb{E}_{\text{random tree } \mathcal{T}} [\#\mathcal{T}(g_i) \cdot h]}}_{\text{what we know (Gaussian heuristic + GSA)}}$$

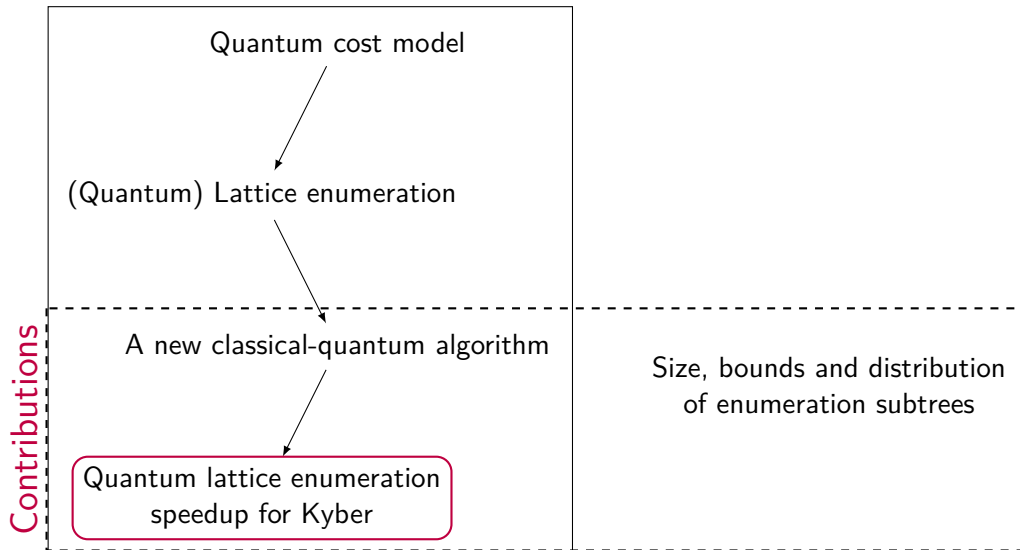
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# Today



# Computing Results: Quantum Cost Estimation

Compute

$$\mathbf{Total\ Cost} = \text{Classical Precomputation} + \mathbb{E}_{\substack{\text{random} \\ \text{tree } \mathcal{T}}} \left[ \sum_{\substack{g_i \\ \text{on level } k}} \text{GCOST}(\text{QENUM}(\mathcal{T}(g_i))) \right]$$

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with **level  $k$**  such that

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Find Jensen's Gap  $2^z$  such that

$$\mathbf{Total\ Cost} \leq \text{Cost of Grover on AES with } \text{T-DEPTH}(\text{QPE}(\mathcal{W})) \leq \text{MAXDEPTH}$$

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# Simplified Results

Reminder: Multiplicative Jensen's Gap

$$2^z \cdot \mathbb{E}[\sqrt{X}] \leq \sqrt{\mathbb{E}[X]}$$

“hypothetical lower bounds” for  $\#\mathcal{T}(g_i)$  (LB/UB in our paper)

---

more likely to be feasible  less likely to be feasible

---

	Kyber-512			Kyber-768			Kyber-1024	
	GCOST of quantum walk operator $\mathcal{W}$							
MAXDEPTH	1	<i>minimal</i>		1	<i>minimal</i>		1	<i>minimal</i>

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$2^{40}$		$z \geq 0$	$z \geq 0$	$z \geq 2$	$z \geq 17$	$z \geq 50$	$z > 64$
$2^{64}$		$z \geq 0$	$z \geq 0$	$z \geq 1$	$z \geq 17$	$z \geq 49$	$z > 64$
$2^{96}$		$z \geq 0$	$z \geq 0$	$z \geq 1$	$z \geq 19$	$z \geq 51$	$z > 64$


quantum speedup... ...may be possible ...may be possible for “trivial” quantum operator ...probably no effect

# Simplified Results

Reminder: Multiplicative Jensen's Gap

$$2^z \cdot \mathbb{E}[\sqrt{X}] \leq \sqrt{\mathbb{E}[X]}$$

“state-of-the-art” bounds for  $\#\mathcal{T}(g_i)$  (UB/UB in our paper)


		more likely to be feasible  less likely to be feasible							
		Kyber-512			Kyber-768			Kyber-1024	
		GCOST of quantum walk operator $\mathcal{W}$							
MAXDEPTH		1	<i>minimal</i>		1	<i>minimal</i>		1	<i>minimal</i>
$2^{40}$		$z \geq 20$	$z \geq 36$		$z \geq 61$	$z > 64$		$z > 64$	$z > 64$
$2^{64}$		$z \geq 20$	$z \geq 36$		$z \geq 61$	$z > 64$		$z > 64$	$z > 64$
$2^{96}$		$z \geq 15$	$z \geq 40$		$z \geq 61$	$z > 64$		$z > 64$	$z > 64$

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## Conclusion

There exists a gap between *generous* lower bounds, and actual expected cost.

$2^z$ ,  $\mathcal{W}$ , ... (more in our paper)

Better understanding of degree of uncertainty from properties of enumeration trees.



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(link to eprint)

Marcel Tiepelt, [marcel.tiepelt@kit.edu](mailto:marcel.tiepelt@kit.edu)

ePrint:

<https://eprint.iacr.org/2023/1423>

Code:

<https://github.com/mtiepelt/QuantumLatticeEnumeration>

Slides:

<https://mtiepelt.github.io/Pages/Publications>

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